

10/20/00 Jc951 U.S. PTO

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Please type a plus sign (+) inside this box ☐ ☒ Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                               |   |
|--|-------------------------------|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional applications under 37 CFR 1.53(b)) | <b>Attorney Docket No.</b>    | 3815/105  |
|  | <b>First Inventor</b>         | Masafumi USUDA et al.   |
|  | <b>Title</b>                  | TRANSMISSION METHOD OF DOWNLINK PILOT CHANNEL IN CDMA MOBILE COMMUNICATION SYSTEM, AND CDMA MOBILE COMMUNICATION SYSTEM |
|  | <b>Express Mail Label No.</b> | EL 595 828 310 US   |

|   |   |
|---|---|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents. | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |
|---|---|

|  |   |
|--|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <b>28</b>]<br/>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>12</b>]</p> <p>5. Oath or Declaration [Total Pages <input ]<="" p="" type="checkbox"/><p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br/>(for continuation/divisional with Box 17 completed)</p><p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p><p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p></p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|--|---|


|  |   |
|--|---|
| <b>ACCOMPANYING APPLICATION PARTS</b>  |   |
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))                               |   |
| 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)                                  | <input checked="" type="checkbox"/> Power of Attorney |
| 11. <input type="checkbox"/> English Translation Document (if applicable)  |   |
| 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449                                       | <input type="checkbox"/> Copies of IDS Citations      |
| 13. <input checked="" type="checkbox"/> Preliminary Amendment  |   |
| 14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)               |   |
| 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed) |   |
| 16. <input checked="" type="checkbox"/> Other: Japanese Appl. No. 11-301710  |   |

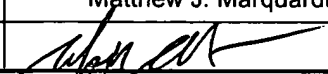
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

|   |          |  |                |                 |                |
|---|----------|--|----------------|-----------------|----------------|
| <b>18. CORRESPONDENCE ADDRESS</b>   |          |  |                |                 |                |
| <input type="checkbox"/> Customer Number or Bar Code Label  or <input type="checkbox"/> Correspondence address below |          |  |                |                 |                |
| (Insert Customer No. or Attach bar code label here)   |          |  |                |                 |                |
| <b>Name</b>   |          | Matthew J. Marquardt, Esq.                   |                |                 |                |
|   |          | Brown Raysman Millstein Felder & Steiner LLP |                |                 |                |
| <b>Address</b>  |          | 120 West 45th Street                         |                |                 |                |
| <b>City</b>   | New York | <b>State</b>                                 | NY             | <b>Zip Code</b> | 10036          |
| <b>Country</b>  | USA      | <b>Telephone</b>                             | (212) 944-1515 | <b>Fax</b>      | (212) 840-2429 |

|                          |   |  |                  |
|--------------------------|---|--|------------------|
| <b>Name (Print/Type)</b> | Matthew J. Marquardt  | <b>Registration No. (Attorney/Agent)</b> | 40,997           |
| <b>Signature</b>         |  | <b>Date</b>                              | October 20, 2000 |

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

\$1,118.00

## Complete if Known

|                      |                       |
|----------------------|-----------------------|
| Application Number   |                       |
| Filing Date          |                       |
| First Named Inventor | Masafumi USUDA et al. |
| Examiner Name        |                       |
| Group Art Unit       |                       |
| Attorney Docket No.  | 3815/105              |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-4270**

Deposit Account Name **Brown Raysman Millstein Felder & Steiner LLP**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description        | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 101 710               | 201 355               | Utility filing fee     | \$710.00 |
| 106 320               | 206 160               | Design filing fee      |          |
| 107 490               | 207 245               | Plant filing fee       |          |
| 108 710               | 208 355               | Reissue filing fee     |          |
| 114 150               | 214 75                | Provisional filing fee |          |

SUBTOTAL (1) (\$)

\$710.00

## 2. EXTRA CLAIM FEES

Total Claims **21** -20\*\* = **1** x **18** = **\$18.00**

Independent Claims **4** -3\*\* = **1** x **80** = **\$80.00**

Multiple Dependent **270** = **\$270.00**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description  |
|-----------------------|-----------------------|--|
| 103 18                | 203 9                 | Claims in excess of 20                                     |
| 102 80                | 202 40                | Independent claims in excess of 3                          |
| 104 270               | 204 135               | Multiple dependent claim, if not paid                      |
| 109 80                | 209 40                | ** Reissue independent claims over original patent         |
| 110 18                | 210 9                 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\$368.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee Code | Small Entity Fee Code | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 105 130               | 205 65                | Surcharge - late filing fee or oath  |          |
| 127 50                | 227 25                | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130               | 139 130               | Non-English specification  |          |
| 147 2,520             | 147 2,520             | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 920*              | 112 920*              | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*            | 113 1,840*            | Requesting publication of SIR after Examiner action                        |          |
| 115 110               | 215 55                | Extension for reply within first month                                     |          |
| 116 390               | 216 195               | Extension for reply within second month                                    |          |
| 117 890               | 217 445               | Extension for reply within third month                                     |          |
| 118 1,390             | 218 695               | Extension for reply within fourth month                                    |          |
| 128 1,890             | 228 945               | Extension for reply within fifth month                                     |          |
| 119 310               | 219 155               | Notice of Appeal   |          |
| 120 310               | 220 155               | Filing a brief in support of an appeal                                     |          |
| 121 270               | 221 135               | Request for oral hearing   |          |
| 138 1,510             | 138 1,510             | Petition to institute a public use proceeding                              |          |
| 140 110               | 240 55                | Petition to revive - unavoidable   |          |
| 141 1,240             | 241 620               | Petition to revive - unintentional   |          |
| 142 1,240             | 242 620               | Utility issue fee (or reissue)   |          |
| 143 440               | 243 220               | Design issue fee   |          |
| 144 600               | 244 300               | Plant issue fee  |          |
| 122 130               | 122 130               | Petitions to the Commissioner  |          |
| 123 50                | 123 50                | Petitions related to provisional applications                              |          |
| 126 240               | 126 240               | Submission of Information Disclosure Stmt                                  |          |
| 581 40                | 581 40                | Recording each patent assignment per property (times number of properties) | 40.      |
| 146 710               | 246 355               | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 710               | 249 355               | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 710               | 279 355               | Request for Continued Examination (RCE)                                    |          |
| 169 900               | 169 900               | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_


Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

\$40.00

## SUBMITTED BY

## Complete (if applicable)

|                   |   |                                   |                  |           |                |
|-------------------|---|-----------------------------------|------------------|-----------|----------------|
| Name (Print/Type) | Matthew J. Marquardt, Esq.  | Registration No. (Attorney/Agent) | 40, 997          | Telephone | (212) 944-1515 |
| Signature         |  | Date                              | October 20, 2000 |           |                |

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